

Joint Standards Committee

- To:** Councillors Rowley BEM (Chair), D'Agorne, Fisher, Galvin and Kilbane (CYC Members)
- Councillors Rawlings, Chambers and M Waudby (Parish Council Members)
- Mr Leigh and Mr Gadd (Independent Persons)
- Date:** Thursday, 17 November 2022
- Time:** 4.00 pm
- Venue:** The Thornton Room - Ground Floor, West Offices (G039)

AGENDA

- 1. Declarations of Interest**

At this point in the meeting, Members are asked to declare any disclosable pecuniary interest or other registerable interest they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests.
- 2. Appointment of Vice-Chair**

To appoint a Vice Chair of the Joint Standards Committee for the 2022/23 municipal year.
- 3. Exclusion of Press and Public**

To consider the exclusion of the public and press from the meeting during consideration of exempt Annexes A and B to Agenda Item 8 (Monitoring Report on Complaints Received), on the grounds that they contain information which is likely to reveal the identity of individuals. This information is classed as exempt under Paragraph 2 of Part 1 of Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information)(Variation) Order 2006.

4. **Minutes** (Pages 1 - 6)
To approve and sign the minutes of the meeting of the Joint Standards Committee held on 30 June 2022.
5. **Minutes of Sub-Committees** (Pages 7 - 14)
To approve and sign the minutes of the Joint Standards Assessments Sub-Committee meetings held on 19 May 2022, 9 August 2022 and 19 October 2022.
6. **Urgent Business**
Any other business which the Chair decides is urgent under the Local Government Act 1972.
7. **Public Participation**
At this point in the meeting members of the public who have registered to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the committee.

Please note that our registration deadlines are set as 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering at this meeting is **5:00pm on Tuesday, 15 November 2022**. To register to speak please visit www.york.gov.uk/AttendCouncilMeetings to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of this agenda.

Webcasting of Public Meetings

Please note that, subject to available resources, this meeting will be webcast including any registered public speakers who have given their permission. The meeting can be viewed live and on demand at www.york.gov.uk/webcasts.

During coronavirus, we made some changes to how we ran council meetings, including facilitating remote participation by public speakers. See our updates (www.york.gov.uk/COVIDDemocracy) for more information on meetings and decisions.

8. Monitoring Report on Complaints Received (Pages 15 - 122)

To receive a routine update report on recent standards complaints.

9. Review of Work Plan (Pages 123 - 126)

To consider the Committee's work plan for the current year and decide whether any amendments or additions are required.

Democratic Services officer:

Name: Fiona Young

Contact Details:

Telephone – (01904) 552030

Email – fiona.young@york.gov.uk

For more information about any of the following please contact the Democratic Services officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

This information can be provided in your own language.

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 **(01904) 551550**

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City of York Council

Minutes

Meeting	Joint Standards Committee
Date	30 June 2022
Present	Councillors Rowley (in the Chair for Agenda Items 3-13), D'Agorne, Fisher, Galvin (present for items 9-13) and Kilbane (CYC Members)
	Councillor Rawlings (Parish Council Member, attending remotely)
Apologies	Councillors Chambers and Waudby (Parish Council Members)

1. **Appointment of Chair (4:07 pm)**

Cllr Fisher nominated Cllr Rowley to be appointed as Chair. The nomination was seconded by Cllr D'Agorne, and it was unanimously

Resolved: That Cllr Rowley be appointed as Chair of the committee for the 2022/23 Municipal Year.

2. **Appointment of Vice-Chair (4:08 pm)**

It was noted that the position of Vice-Chair was customarily held by a parish council member, and that Cllr Rawlings had been appointed as Vice-Chair at the Annual Meeting of City of York Council in May.

As there were no parish council members physically present at the meeting, it was.

Resolved: That the formal appointment of the Vice-Chair be deferred to the next meeting.

Reason: To enable the parish council members to participate in the vote.

3. **Declarations of Interest (4:09 pm)**

Members were asked to declare any personal interests not included on the Register of Interests, or any prejudicial interests

or disclosable pecuniary interests which they might have in respect of business on the agenda.

Cllr Fisher declared an interest in Agenda Item 13 (Monitoring Report on Complaints Received). He left the room during the private session when that item was discussed.

In respect of Item 13, Cllr Kilbane later stated that he had made public comments about one of the complaints. He left the room when that case was discussed.

4. Exclusion of Press and Public (4:10 pm)

Resolved: That the press and public be excluded from the meeting during consideration of the exempt versions of Annexes A and B to Agenda Item 13 (Monitoring Report in Respect of Complaints Received), on the grounds that they contain information likely to reveal the identity of individuals, which is classed as exempt under Paragraph 2 of Part 1 of Schedule 12A of the Local Government Act 1972 (as amended by the Local Government (Access to Information) (Variation) Order 2006.

5. Minutes (4:11 pm)

Resolved: That the minutes of the meeting of the Joint Standards Committee held on 20 April 2022 be approved as a correct record, to be signed at a later date by Cllr Rawlings (who chaired the meeting), subject to the following amendment:

- In the second paragraph of Minute 53, amend 'the Executive Member for Transport' to 'the Executive Member for Environment & Climate Change'.

6. Minutes of Sub-Committees (4:14 pm)

There being no members of the Sub-Committee present, it was

Resolved: That approval of the minutes of the Joint Standards Assessment Sub-Committee meeting held on 19 May 2022 be deferred to the next meeting.

7. Public Participation (4:15 pm)

It was reported that there had been one registration to speak at the meeting under the Council's Public Participation Scheme.

Cllr Warters spoke on Agenda Item 13 (Monitoring Report on Complaints Received), objecting to the Monitoring Officer's decision to dismiss a complaint he had submitted against an Executive Member.

8. Annual report for 2021/2022 (4:20 pm)

Members considered a report which invited their views on a finalised draft Annual Report for 2021/2022, as attached at Annex A, prior to its presentation to Full Council.

The Monitoring Officer reported that the recruitment process for Independent Persons had now concluded and new appointments would be confirmed by Council on 14 July. The Chair expressed his thanks for the work done by the previous Independent Persons, Mr Laverick and Ms Davies.

Following discussion, it was

Resolved: That the draft Annual Report be noted, and approved subject to an update of the section on the recruitment of Independent Persons, as discussed, and the inclusion of:

- Headline figures on the number of complaints received in previous municipal years;
- Further details in respect of dispensations granted.

Reason: To enable the submission of the final Annual Report to Full Council.

9. Government Response to the Committee for Standards in Public Life Review of Local Government Ethical Standards: City of York's Response to the Recommendations made by the Committee for Standards in Public Life Recommendation - Update (4:36 pm)

Members considered a report which provided an update on the work undertaken at City of York Council in response to the recommendations published by the Committee for Standards in

Public Life Review of Local Government Ethical Standards in January 2019.

The government responses to the 24 recommendations were set out in paragraphs 7-44 of the report, along with action already taken by the council.

The Monitoring Officer responded to Members' questions, confirming that the council had responded positively where it could, but that its hands were tied in respect of those recommendations that required primary legislation.

Resolved: That the Government's formal response and the progress made where possible by City of York Council in meeting the Committee for Standards in Public Life Recommendations, as detailed in the report, be noted.

Reason: So that the committee has an overview of the response to the Recommendations.

10. Development of a Member Training Programme for the Ethical Governance Framework (5:08 pm)

Members considered a report which provided an update on the development of a member training programme, in relation to the ethical governance framework.

The aim of Audit & Governance Committee, which had oversight of the formulation of the Member Development Programme, was to finalise the programme by December 2022, in readiness for the City Elections in May 2023. Ethical Governance training would be an important part of the induction process after the elections. Proposals for inclusion in that training were listed in paragraph 3 of the report.

In discussing the proposals, Member agreed that the training should be mandatory and should be delivered in a variety of formats. They suggested the following additions to the list at paragraph 3:

- Equalities training to be developed with appropriate stakeholder groups and delivered by people with lived experience of protected characteristics.
- The public interest, and Members' role in this.

Resolved: (i) That any further suggested additions to the bullet points at paragraph 3 be sent to the Chair and / or Monitoring Officer.

(ii) That the committee's views be shared with the Audit & Governance Committee as part of their established work plan.

(ii) That the Chair and Vice-Chair continue to work jointly with the Chair of Audit & Governance Committee on the development of ethical governance training as part of the overall programme.

Reason: To ensure that the Joint Standards Committee is properly involved in this aspect of the Member Development Programme.

11. Review of Work Plan (5:27 pm)

Members considered the committee's work plan for the current 2022/23 municipal year.

Resolved: That the work plan be approved subject to the addition of the following items to the next 2 meeting dates:

15 September 2022:

- Attendance by YLCA
- Member Training Programme update

17 November 2022:

- Member Training Programme update
- Review of Social Media Policy

Reason: To ensure that the committee has a planned programme of work in place.

12. Monitoring Report on Complaints Received (5:35 pm)

Members considered a report which provided an update on current business with regard to complaints.

An anonymised list of live complaints was attached at Annex A to the report, and an anonymised list of closed complaints at

Annex B. Full details were provided in an exempt version of each annex. Discussion of the exempt lists took place in private session, in accordance with the resolution in Minute 4 above.

In response to matters raised under Public Participation, the Monitoring Officer confirmed that correct procedures had been followed in respect of the case in question.

Resolved: That the report be noted.

Reason: To ensure that the committee is aware of current levels of activity.

Cllr M Rowley BEM, Chair

The meeting started at 4.07 pm and finished at 6.07 pm.

City of York Council

Committee Minutes

Meeting	Joint Standards Committee - Assessments Sub-Committee
Date	19 May 2022
Present	Councillor Baker and Douglas (CYC Members) Councillor Waudby (Parish Council Member) Mr Oram (Independent Person)

37. Appointment of Chair

Resolved: That Cllr Douglas be appointed as Chair of the meeting.

38. Declarations of Interest

Members were asked to declare at this point in the meeting any disclosable pecuniary interests or other registerable interests they might have in respect of business on the agenda, if they had not already done so in advance on the Register of Interests.

No interests were declared, but for the sake of transparency Cllr Waudby stated, in relation to Agenda Item 4, that he was acquainted with a member of the City Council who was also a member of the same council as the subject members and complainants.

39. Exclusion of Press and Public

Resolved: That the press and public be excluded from the meeting during consideration of the private report at Agenda Item 4 (Code of Conduct Complaint received in respect of Parish / Town Councillors), on the grounds that it contains information relating to individuals, which is classed as exempt under paragraph 1 of Schedule 12A to Section 100A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006.

40. Complaints received in respect of Parish / Town Councillors

Members considered a report on five Code of Conduct complaints received in respect of four Parish / Town. Details of the complaints and the circumstances were presented in the private report referred to in Minute 39 above.

The report invited Members to decide whether to:

- 1) Rule that the complaint did not fall within the remit of the Code of Conduct and therefore no further action be taken; or
- 2) Rule that the complaint should progress to an investigation, in which case the Monitoring Officer would appoint an investigating officer in accordance with the procedure for the handling of complaints.

Discussion took place in private session, in accordance with the decision in Minute 35. During the debate, it was confirmed that a third option was available; namely, to seek informal resolution, as set out in paragraph 4 of the complaints procedure approved by Joint Standards Committee in January 2020.

Having considered the information provided, and taking into account the views of the Independent Person at the meeting, it was

Resolved: That the sub-committee finds that all 5 of the complaints constitute potential breaches of the Code of Conduct, and that informal resolution be sought in respect of them all.

Reason: In accordance with paragraph 4 of the complaints procedures.

Cllr C Douglas, Chair

[The meeting started at 3.03 pm and finished at 4.20 pm].

Meeting	Joint Standards Committee - Assessments Sub-Committee
Date	9 August 2022
Present	Councillors Galvin (<i>present for Agenda Items 1, 2, 3 and 4</i>), Pavlovic (<i>present for Agenda Item 5</i>) and Rowley (CYC Members) Councillor Rawlings (Parish Council Member) Mr Oram (Independent Person)

1. Appointment of Chair (10:04 am)

Resolved: That Cllr Rawlings be appointed as Chair of the meeting.

2. Declarations of Interest (10:04 am)

Members were asked to declare any personal interests not included on the Register of Interests, or any prejudicial interests or disclosable pecuniary interests which they might have in respect of business on the agenda.

In the interests of transparency, Cllr Rowley mentioned in relation to Agenda Item 4 (Code of Conduct Complaint Received in Respect of a City of York Councillor) that he was the Ward Member for Dunnington and was a member of the committee to which the complaint related.

3. Exclusion of Press and Public (10:05 am)

Resolved: That the press and public be excluded from the meeting during consideration of the private reports at Agenda Items 4 (Code of Conduct Complaint received in respect of a City of York Councillor) and 5 (Code of Conduct Complaint received in respect of 3 City of York Councillors), on the grounds that they contain information relating to individuals, which is classed as exempt under paragraph 1 of Schedule 12A to Section 100A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006.

4. Code of Conduct Complaint Received in Respect of a City of York Councillor (10:06 am)

Members considered a report which presented the draft report of the Investigating Officer regarding a Code of Conduct complaint made in respect of a City of York Councillor. Full details were provided in an exempt report, to which the draft was attached as an annex.

Members were invited to consider whether to:

- 1) Rule that the draft report was incomplete and that further investigation was required;
- 2) Rule that the draft report was complete, accept the finding contained in it, and determine the next steps; or
- 3) Rule that the draft report was complete but not accept the finding contained in it.

Prior to moving to private session, the Chair exercised his discretion to allow submissions to be heard from the Complainant and then the Subject Member in respect of the complaint.

Discussion took place in private session, in accordance with the resolution in Minute 31 above.

Having considered the information provided, and taking into account the comments of the Independent Person at the meeting, it was

Resolved: That Option B be approved and no further action be taken.

Reason: The Sub-Committee agrees with the findings in the Investigating Officer's report.

5. Code of Conduct Complaint Received in Respect of 3 City of York Councillors (11:01 am)

Members considered a report which presented the draft report of the Investigating Officer regarding a Code of Conduct complaint made in respect of 3 City of York Councillors. Full details were provided in an exempt report, to which the draft was attached as an annex.

Members were invited to consider whether to:

- 1) Rule that the draft report was incomplete and that further investigation was required;
- 2) Rule that the draft report was complete, accept the findings contained in it, and determine the next steps; or
- 3) Rule that the draft report was complete but not accept the findings contained in it.

Discussion took place in private session, in accordance with the resolution in Minute 31 above.

Note: The Sub-Committee's decisions in respect of each of the Subject Members can be found in the Decision Notices published alongside these minutes.

Councillor S Rawlings, Chair

[The meeting started at 10.04 am and finished at 12.19 pm].

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Meeting	Joint Standards Committee - Assessments Sub-Committee
Date	19 October 2022
Present	Councillor Fisher (CYC Member) Councillors Chambers and Rawlings (Parish Council Members) Mr Leigh (Independent Person)

6. Appointment of Chair

Resolved: That Cllr Fisher be appointed as Chair of the meeting.

7. Declarations of Interest

Members were asked to declare at this point in the meeting any disclosable pecuniary interests or other registerable interests they might have in respect of business on the agenda, if they had not already done so in advance on the Register of Interests.

No interests were declared, but for the sake of transparency Cllr Fisher stated, in relation to Agenda Item 4, that the complainant had informed him in advance of their intention to submit the complaint and he had expressed no views about this.

8. Exclusion of Press and Public

Resolved: That the press and public be excluded from the meeting during consideration of the private report at Agenda Item 4 (Code of Conduct Complaint received in respect a City of York Councillor), on the grounds that it contains information relating to an individual, which is classed as exempt under paragraph 1 of Schedule 12A to Section 100A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006.

9. Code of Conduct Complaint Received in Respect of a City of York Councillor

Members considered a report on a Code of Conduct complaint received in respect of a City of York Councillor. Details of the complaint and circumstances were presented in the private report referred to in Minute 8 above.

Members were asked to determine whether the complaint received should be investigated, choosing one of the following available options:

- a) that no further action is required;
- b) that the matter be referred for formal investigation;
- c) that some form of informal resolution should be carried out.

Having considered all the information provided, and the advice of the Independent Person, it was

Resolved: That no further action is required.

Reason: The Sub-Committee considers that the evidence does not demonstrate a potential breach of the Code of Conduct.

Cllr T Fisher, Chair

[The meeting started at 2.00 pm and finished at 2.50 pm].



Joint Standards Committee**17 November 2022**

Report of the Deputy Monitoring Officer

Monitoring Report in respect of Complaints Received**Summary**

1. This report is to update the Committee on the position regarding ongoing complaints.

Background

2. The table attached at Annex A provides information about ongoing complaints and the table attached at Annex B provides the list of closed complaints.
3. Case reference 2021/17 was investigated and the hearing took place on 2nd September 2022 in respect of two of the three City of York Councillors named in the complaint. Part of the complaint, alleging failure to treat others with respect, was upheld in respect of the first subject member. No sanction was imposed. The complaints against the second subject member were not upheld. The complaint against the third City of York Councillor named in the same complaint was investigated and not upheld; the Joint Assessment Sub Committee confirmed this decision at their meeting on 9th August 2022.
4. Case reference 2021/20 is closed, no breach of the code was found following an investigation. This decision was confirmed by the Assessment Sub Committee on 9th August 2022.
5. Case references 2022/07 and 2022/15 are closed, a breach of the code was found following an investigation. Recommendation to seek informal resolution to both complaints by way of training. All parties notified and accept informal resolution.
6. Case references 2022/13, 2022/14, 2022/16, 2022/17 and 2022/18 are currently under investigation.

7. Case references 2022/19, 2022/21 and 2022/22 did not progress to investigation and are closed.
8. Case reference 2022/20 is closed, no breach of the code was identified by an Assessment Sub Committee on 19th October 2022.

Implications

Financial

9. Not applicable to this report.

Human Resources (HR)

10. Not applicable to this report.

Equalities

11. Maintaining standards across the City through the Code of Conduct ensures that an ethical framework can be adhered to, including ensuring that equality issues form an integral part of that framework.

Legal

12. As detailed within the report.

Crime and Disorder, Information Technology and Property

13. Not applicable to this report.

Recommendations

14. That the Joint Standards Committee notes the report, in order to ensure that the Committee is aware of the current levels of activity and is able to provide oversight of the complaints procedure.

**Author & Officer Responsible
for the report:**

Frances Harrison

Deputy Monitoring Officer

**Report
Approved**

Date

9 November
2022

Wards Affected:

All

For further information please contact the author of the report

Background Papers:

- Annex A – Table showing open complaints received.
- Annex B – Table showing closed complaints received.

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Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
2021/17	CYC	Parish	23/10/21	The complaint is in relation to matters at a Parish Council and the complainant alleges the Cllrs behaviour is not in line with the code of conduct.		Closed. Hearing Sub-Committee took place on 2 nd September 2022. Part of the complaint, the alleging failure to treat others with respect, was upheld in respect of the first subject member. No sanction was imposed. The complaints against the second subject member were not upheld. The decision notices were published online.	<p>This complaint is currently being assessed.</p> <p>Assessment Sub-Committee being convened, await outcome.</p> <p>Assessment sub-committee determined that the complaint should progress to an investigation and external investigator appointed.</p> <p>Investigation with external investigator progressing.</p> <p>Final report received from the external investigating officer. Assessment Sub-Committee to be reconvened to discuss findings.</p> <p>Assessment Sub-Committee referred the 2 remaining aspects of this complaint on to a Hearing.</p>	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
							Hearing Sub-Committee took place on 2 nd September 2022.	
2021/17	CYC	Parish	23/10/21	This complaint formed 1 part of the complaint above.		Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer's report - no breach found for this particular aspect.	Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer's report - no breach found for this particular aspect.	
2021/20	CYC	York resident	16/12/21	The complainant alleges the Councillor's comments at a Planning Committee meeting breach the Member Code of Conduct.	Chair and Vice Chair consulted 17/12/21. Referred to assessment sub-committee.	Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer's report - no breach found.	This complaint is currently being assessed. Views of the IP sought. Assessment Sub-Committee being convened, await outcome. Assessment sub-committee determined that the complaint should progress to an	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
							<p>investigation and external investigator to be appointed.</p> <p>External investigator appointed – parties notified.</p> <p>Investigation with external investigator progressing.</p> <p>Final report received from the external investigating officer. Assessment Sub-Committee to be reconvened to discuss findings.</p> <p>Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer’s report - no breach found.</p>	
2022/07	Parish	Parish	13/04/22	The complainant alleges the Parish Councillor breached the code of conduct by not declaring a Personal Interest at a	Chair and Vice Chair consulted 22/04/22	Closed. Report received from the investigating officer. Breach found,	<p>This complaint is being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p>	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
				Parish Council meeting and did not leave the room when the agenda item was discussed.		recommendation to seek informal resolution by way of training. Parties notified.	Complaint assessed and will progress to investigation. Report received from the investigating officer. Breach found, recommendation to seek informal resolution by way of training. Parties notified.	
2022/13	Parish	Parish	29/04/22	The complainant alleges the Parish Councillor has routinely refused to comply with the Parish Council's Code of Conduct at multiple Parish Council meetings.	Chair and Vice Chair consulted 13/05/22	Complaint is currently being investigated.	This complaint is currently being assessed by the Deputy Monitoring Officer. Views of the IP sought. Complaint assessed and will progress to investigation. Parties notified.	
2022/14	Parish	Parish	29/04/22	The complainant alleges the Parish Councillor did not declare an interest with regards to a Motion at a Parish Council	Chair and Vice Chair consulted 13/05/22	Complaint is currently being investigated.	This complaint is currently being assessed by the Deputy Monitoring Officer. Views of the IP sought.	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
				meeting and did voted in favour of the Motion.			Complaint assessed and will progress to investigation. Parties notified.	
2022/15	Parish	Parish	16/05/22	The complainant alleges the Parish Councillor breached the code of conduct by not declaring an interest at a Parish Council meeting and did not leave the room when the agenda item was discussed and voted on.		Closed. Following the conclusion of an investigation on a similar matter at the same Parish Council, DMO proposed to seek informal resolution by way of training. Parties notified.	This complaint is being assessed by the Deputy Monitoring Officer. Views of the IP sought. Following the conclusion of an investigation on a similar matter at the same Parish Council, DMO proposed to seek informal resolution by way of training. Parties notified.	
2022/16	CYC	CYC	23/05/22	The complainant alleges the Cllr breached the code of conduct in a comment made online. The complainant alleges the comment breached the	Chair and Vice Chair consulted 25/05/22	Complaint assessed and will progress to investigation.	This complaint is being assessed by the Monitoring Officer. Views of the IP sought.	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
				code and brings the Local Authority into disrepute.			Complaint assessed and will progress to investigation. Parties notified.	
2022/17	CYC	CYC	23/05/22	The complainant alleges the Cllr breached the code of conduct in a comment made online. The complainant alleges the comment breached sections 5.1 and 6 of the code.	Chair and Vice Chair consulted 25/05/22	Complaint assessed and will progress to investigation.	This complaint is being assessed by the Monitoring Officer. Views of the IP sought. Complaint assessed and will progress to investigation. Parties notified.	
2022/18	CYC	Resident	12/08/22	This complaint is as a result of ongoing matters at the Parish Council. The complainant alleges the Cllrs behaviour is not in line with the code of conduct.	Chair and Vice Chair consulted 30/08/22	Complaint is currently being investigated.	This complaint is being assessed by the Deputy Monitoring Officer. Views of the IP sought. Complaint assessed and will progress to investigation. Parties notified.	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
2022/19	CYC	Parish	06/09/22	Complainant alleges the Cllr sought to improperly confer an advantage on a person (constituting a breach within paragraph 3.1 of the parish Code and also paragraph 3(8) of the CYC Code of Conduct) by making inappropriate threats to support political ambitions.	Chair and Vice Chair consulted on 13/09/22	Closed. Complaint did not progress to investigation.	<p>This complaint is being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Closed – the complaint was assessed and the views of JSC Chairs and an Independent Person were sought. Complaint did not progress to an investigation and the complaint was dismissed. Decision notice sent to parties.</p>	
2022/20	CYC	Resident	07/09/22	Complainant alleges the Cllr improperly sought to take advantage of his position and did not declare an interest at a committee meeting.	Vice Chair consulted on 13/09/22	Closed. Having heard the advice of the Independent Person the Assessment Sub-Committee agreed that whilst the complaint did contain sufficient	<p>This complaint is being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Assessment Sub-Committee convened 19/10/22 to determine outcome of assessment.</p>	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
						evidence to merit consideration by the committee, their assessment was that the evidence did not demonstrate a potential breach of the Code.	Closed. Having heard the advice of the Independent Person the Assessment Sub-Committee agreed that whilst the complaint did contain sufficient evidence to merit consideration by the committee, their assessment was that the evidence did not demonstrate a potential breach of the Code. Decision notice sent to parties.	
2022/21	CYC	Resident	07/09/22	Complainant alleges the Cllr failed to cite relevant case law when responding to a member of the public and commenting in the media on a planning matter, and that this amounted to bringing office into disrepute.	Chair and Vice Chair consulted on 21/09/22	Closed. Complaint did not progress to investigation.	This complaint is being assessed by the Deputy Monitoring Officer. Views of the IP sought. Closed – the complaint was assessed and the views of JSC Chairs and an Independent Person were sought. Complaint did not progress to an investigation and the	

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
							complaint was dismissed. Decision notice sent to parties.	
2022/22	City	Resident	01/11/2022	The complainant alleges that the Councillor breached the Code of Conduct by refusing to meet with him and misrepresenting what limitations were placed on them in relation to a planning matter.	N/A	Closed. Complaint did not progress to investigation.	Closed – the complaint was assessed. The MO reached the conclusion that the complaint did not demonstrate a breach of the code and therefore will not progress to an investigation. Decision notice sent to parties.	

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Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
723	Parish	Former Parish Council Clerk	24/6/19	The complainant alleges that the subject members have behaved in a manner that is disrespectful.	Closed – the Monitoring Officer has considered the Investigation Officer’s report and agrees with her findings of no breach of the Code of Conduct.	<p>Closed – the Monitoring Officer has considered the Investigation Officer’s report and agrees with her findings of no breach of the Code of Conduct.</p> <p>The investigation was initially on hold as it was related to another complaint.</p>		
2020/01	Parish	Resident	05/02/20	The complainant alleges the Parish Councillor was supporting a planning application on a personal level and was not acting impartially. The complainant claims the Councillor did not acknowledge their objections.	Closed - after investigation, the Monitoring Officer advised this matter will not be taken further.	<p>The Chairman of the PC has contacted the Monitoring Officer to discuss this matter.</p> <p>A Parish Councillor has resigned from their post following the incidents with the Parish Councillor.</p> <p>Response sent to the complainant on 28/04/20. Chair of PC also sent a copy. This matter will not be taken further.</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
2020/02	City	Resident	10/04/20	The complainant put in a complaint with regards to how a Councillor spoke to him online on Twitter.	Closed – the Monitoring Officer advised the complainant that this complaint does not merit further investigation as it appears that the thread consists of a series of comments between the complainant and the Councillor in which they were both providing views on whether a previous comment made on Twitter was defamatory.			
2020/03	City	Councillor	26/06/20	The complainant alleges a Councillor had a personal and prejudicial interest in the	Closed – the complaint was assessed and the views of an Independent Person were sought.			

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				matter of the decision to grant and fund early retirement of a Chief Officer.	Complaint did not progress to an investigation and the complaint was dismissed.			
2020/04	Parish	Parish Councillor	08/07/20	The complainant has put in 3 complaints about the behaviour of another Parish Councillor towards them.	Closed	<p>The case has been passed to an investigating officer to investigate.</p> <p>Parties have been interviewed and draft report is currently being finalised.</p> <p>Draft report now sent to the parties, they have until 19.03.21 to respond. Complainant has responded, awaiting comments from Subject PC.</p> <p>No comments received so draft report passed to MO.</p> <p>Draft report passed to Monitoring Officer who agrees</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						with conclusion in that the complaint is not upheld. Parties contacted on 7 April 2021 and notified of outcome. Closed.		
2020/05	City	City	11/07/20	The complainant has submitted a formal complaint with regards to 4 CYC Councillors not submitting a Declaration of Interest at a Planning Committee meeting on 9 th July 2020. It is claimed one Councillor in particular attended with a predetermined and biased mind-set.	Closed - the complaint was assessed and the views of an Independent Person were sought. It was determined the complaint would not be taken further as the points raised do not amount to a breach of the code of conduct.			
2020/06	City	Resident	14/07/20	The complaint concerns a	Closed - the complaint was assessed and the	Subject matter of complaint has already been subject of an		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				Councillor's participation at the Staffing and Urgency Committee that discussed the early retirement of a Chief Officer and the lack of Declaration of Interest.	views of an Independent Person were sought. It was determined the complaint would not be taken further.	investigation or other action relating to the Code of Conduct or subject of an investigation by other regulatory authorities.		
2020/07	Parish	Parish	16/07/20	The complainants have put in a joint complaint about their fellow Councillor. The complaint focuses on the Councillor's attendance at meetings, his general tone of communication and behaviour	Closed as subject Parish Councillor has resigned.	This complaint is currently being investigated. Closed as subject Parish Councillor has resigned.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				amongst other things.				
2020/08	Parish	Resident	23/07/20	The formal complaint submitted is with regards to the integrity, honesty and bullying behaviour of the Councillor.	Closed as subject Parish Councillor has resigned.	This complaint is currently being investigated. Closed as subject Parish Councillor has resigned.		
2020/09	Parish	Parish	24/07/20	The complainant has put in a formal complaint with regards to the Councillor behaving in a 'disrespectful and intimidatory' manner. The complainant has given multiple examples of the behaviour.	Closed - the complaint was assessed and the views of an Independent Person were sought. It was determined the complaint would not be taken further. The documents provided did not provide sufficient evidence of disrespectful and intimidatory behaviour. Comments made amount to	Closed		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
					freedom of speech and does not extend beyond that.			
2020/11	Parish	Parish Councillor	27/08/20	The complainant has put in a formal complaint with regards to a Councillor's behaviour at council meetings. The complainant has given multiple examples of the behaviour. This is a counter complaint to 2020/09.	Closed - the complaint was assessed and the views of an Independent Person were sought. It was determined the complaint would not be taken further. The evidence provided did not provide sufficient evidence of behaviour amounting to a breach of the Code. Comments made would amount to freedom of speech and did not extend beyond that.	Closed		
2020/15	Parish	Parish	18/11/20	The complainant claims a Parish Councillor does not abide by the	Closed	This complaint has been assessed and is due to be allocated to an investigating officer.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				Code of Conduct in his behaviour towards the PC or to the residents that he should represent. The complainant states it is bullying and harassment.		<p>Draft report to be distributed to parties w/c 15.03.21.</p> <p>Parties have responded, draft report to be passed to MO for consideration.</p> <p>Draft report passed to MO who agrees with the conclusion in that the complaint is not upheld and no breach was found. Parties contacted on 22 April 2021 and notified of outcome. Closed.</p>		
2020/16	Parish	Parish	25/11/20	The complainant claims the councillors displayed bullying behaviour at meetings and over email. The complainant advised the	Closed	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Complaint withdrawn at request of complainant.</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				councillor's behaviour towards the PC has been unreasonable and unprofessional. Multiple examples of behaviour provided.				
2020/18	CYC	CYC	08/12/20	The complainant claims the Councillor's attitude was unprofessional, undermining and intimidating, both to the complainant and other members of the committee during a meeting.	Closed.	<p>This complaint is currently under investigation.</p> <p>Draft report sent to parties, comments now received.</p> <p>Complainant would like their opportunity to raise concerns around the investigation.</p> <p>Complaints procedure considered, but no mechanism for this. Draft report considered by MO and findings</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						accepted, parties notified. Closed.		
2020/19	Parish	Parish	10/12/20	The complainant claims the Councillor was discriminatory against them in relation to co-option and at a public meeting.	Investigating officer found no breach found. MO has reviewed the draft report and upholds the investigating officer's findings. Closed.	This complaint has been assessed and is due to be allocated to an investigating officer. Investigation ongoing. Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then. Investigating officer found no breach found. MO has reviewed the draft report and upholds the investigating officer's findings. Closed.		
2021/02	CYC	York Resident	16/01/21	The complainant alleges that proper process was not followed at a Committee	Closed – the complaint was assessed and the views of an Independent Person			

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				meeting and sufficient information was not provided to attendees at the meeting to make an informed judgement.	sought. It was determined the complaint would not be taken further as the allegations do not breach the code of conduct.			
2021/03	Parish	Parish	29/01/21	The complainant has put in a formal complaint with regards to the councillor's behaviour. The complainant has requested an old complaint be revisited.	No breach found. Decision of investigating officer upheld by MO. Closed.	Investigation ongoing. Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then. No breach found. Decision of investigating officer upheld by MO. Closed.		
2021/04	CYC	CYC	04/03/21	During a Council Planning Meeting, the Councillor publicly criticised Planning Officers in a disrespectful	Closed	Currently being assessed, awaiting IP view. IP chased but no response yet.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				manner in a clear breach of Member Standards.		Complaint closed. Complaint appears to be politically motivated and in addition, as there is another route for this complaint to be dealt with, in accordance with the protocol on Officer/Member relations, it is not felt that the public interest would be served by progressing this matter further.		
2021/05	CYC	York resident	11/03/21	The complainant alleges that the Cllr portrayed a biased view of a scheme during a Planning Committee Meeting, as well as attempting to influence a Planning Officer.	Closed.	Currently being assessed, IP view now received, with MO for determination. The complaint was assessed and IP view obtained. Complaint not progressing. Reason: whilst the complainant and the Councillor do not share the same views on the merits of the application, this does not justify a Code of Conduct complaint progressing any further.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
2021/07	Parish Councillor	York Resident	20/03/21	The complaint alleges the Parish Council did not allow residents to join a virtual PC meeting as the meeting time was changed without making residents aware.	Closed.	IP views being sought. The complaint was assessed and IP view obtained. Complaint not progressing. Reason: complaint does not fall within remit of Standards/Code of Conduct issue and does not raise issues in relation to a particular Parish Councillor, suggested complainant raises the issue with the Parish Council Clerk.		
2021/10	CYC	York resident	17/06/2021	The complaint is in relation to a Tweet sent by the Cllr online. The complainant implies the Cllr has a prejudicial interest against taxis and does not see how the Cllr can continue as an Executive	Closed	Currently being assessed by MO. Closed - the reason for this decision is that the contents of the complaint are not covered by the Code, and after reviewing the Bio on the Cllrs Twitter account, it has been made clear that statements are made in a personal capacity. Code of Conduct complaints		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				Member or remain on a Committee when it is dealing with issues related to taxi licensing.		must relate to conduct in the course of acting as a Councillor.		
2020/12	CYC	Resident	11/09/20 / 16/09/20 (officially)	The complaint is in relation to the resident's planning application. The complainant claims the Councillor supported a council officer rather than providing a fair and neutral opinion as a Councillor and was inextricably linked to personal interests in	MO reviewed report. No breach found, matter closed.	The subject matter of this complaint has been investigated by a 3 rd party, that has now concluded, therefore, this can now proceed. Investigation ongoing. MO reviewed report. No breach found, matter closed.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				relation to a committee meeting.				
2020/13	CYC	Parish	05/11/20	The complaint is in relation to an email sent by the Councillor – the complainant believes this is an offensive email in breach of the code of conduct.	Local Resolution accepted by subject Member. Matter closed.	<p>This complaint has been passed to an investigating officer. Draft report prepared and to be sent to parties w/c 15.03.21 for comment.</p> <p>Draft report finalised and breach found. MO assessing whether local resolution is suitable.</p> <p>Breach to be dealt with through local resolution – parties informed.</p> <p>Local Resolution accepted by Subject Member. Matter closed.</p>		
2021/01	CYC	York Residents	03/01/21	The complainant alleges the Cllr chose to be “aggressive,	Closed. Hearing Panel determined no breach.	<p>Assessment of complaint currently taking place.</p> <p>Passed for investigation.</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				narrow minded and discriminative” against him and his business, “rather than take a pragmatic, reasonable approach to this incident”.		<p>Draft report sent to parties, asked for comments by 19.03.21.</p> <p>No comments so passed draft report to MO.</p> <p>Breach found, MO upholds the finding. Local resolution offered but not accepted. Hearing to be arranged.</p> <p>Closed. Hearing Panel determined no breach.</p>		
2021/11	Parish	Parish	23/06/2021	The complaint relates to allegations of threats and false statements amongst Parish Councillors.	Closed.	<p>Currently being assessed by MO.</p> <p>Complaint assessed. Complaint dismissed in relation to the Clerk, as they do not fall within the remit of the Code of Conduct. Complaint in relation to Town Councillors not</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						progressing due to insufficient information and tit for tat. Closed.		
2020/10	City	Residents	12/07/20 - 24/07/20	Numerous complaints were received with regards to the Councillor's comments and behaviour on social media.	Closed.	<p>This complaint is currently being investigated.</p> <p>Draft report now finalised and sent to subject Councillor for comment.</p> <p>Comments received, subcommittee to be reconvened to review findings.</p> <p>Sub-Committee determined that further investigation is required – passed back to investigating officer.</p> <p>Investigation completed now – referred back to Sub-Committee.</p> <p>Assessment sub-committee determined that the</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						Investigating Officer's report was complete and accepted the finding of no breach of the Code of Conduct. Closed.		
2020/14	Parish	Resident	18/11/20	The complainant would like a Parish Councillor investigated as personal animosity has been preventing the PC to serve the parishioners.	Closed.	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Draft report to be distributed to parties w/c 15.03.21.</p> <p>Parties have responded, draft report to be passed to MO for consideration.</p> <p>Breach found, being referred for hearing.</p> <p>Hearing Panel adjourned to end of September.</p> <p>Hearing Panel met on 30 September 2021. Panel upheld</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						the Investigating Officer's findings that the Councillor breached the Code of Conduct. The Panel imposed the following sanctions: Formal reporting of the findings of the Panel to the Parish Council, recommendation that the Parish Council arrange mandatory training for the Parish Councillor around Chairing Skills, including the Code of Conduct and Parish Council Governance.		
2020/20	CYC	CYC	13/12/20	The complainant claims the councillors breached the code of conduct. It is alleged the councillors made potentially libellous allegations	Closed.	Assessment can now be completed as IP view now received. Investigation ongoing. This complaint is currently being investigated.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				against the complainant and others, and it is alleged they have set out a series of untruths about City of York Council within a newsletter sent out.		Investigation concluded, no breach found. MO upholds the findings of the investigating officer, parties notified. Closed.		
2021/14	CYC	CYC	19/08/2021	The complainant alleges the Cllr has breached the following standards codes: 3.1: You must treat others with respect. 3.2 You must not do anything which may cause the Council to break any equality enactment.	Closed.	This complaint is currently being investigated. Joint Assessment Sub-Committee being set up, await outcome. Assessment sub-committee agreed no further action. Parties notified, matter closed.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				3.3 You must not bully or intimidate any person, or attempt to bully or intimate them. 3.7 You must not conduct yourself in a manner which could reasonably be regarded as bringing the Council into disrepute, or your position as a councillor into disrepute.				
2021/15	CYC & Parish	York resident	28/09/21	The complaint is in relation to an alleged breach of information regarding a planning	Closed.	Complaint assessed, IP view sought, consultation with Chair of JSC, complaint not progressing further. Reason: if the complaint were proven, there would not be a breach of		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				application that was not in the public domain. The parish councillor allegedly acted unfair and biased towards a planning application.		the Parish Council's code. Parties notified of outcome. Closed.		
2021/16	CYC	York resident	15/10/21	The complaint is in relation to a potential conflict of interest following an appearance on TV.	Closed.	Complaint assessed and not progressing to investigation. Reason: Councillor acting in private capacity, not in capacity of Councillor. Closed.		
2021/18	CYC	CYC	02/12/21	The complainant alleges the Cllr has breached the code following decisions made at Decision Sessions.	Closed.	This complaint is currently being assessed. Views of the IP sought. Closed. Complaint does not relate to councillor conduct, therefore falls outside the		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						standards regime. Parties notified.		
2021/19	CYC	York resident	11/12/21	The complainant alleges a statement made in a York Press article was intended to coerce and stigmatise anyone who chooses not to be vaccinated.	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP sought.</p> <p>Complaint assessed and no breach of the code of conduct was found. Closed. Parties notified.</p>		
2021/21	CYC	CYC	17/12/21	The complaint relates to an allegation that the Cllr used social media (Twitter) to make slanderous and/or defamatory comments which, relate to a Full Council meeting.	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP and JSC Vice Chair sought.</p> <p>Complaint assessed and no breach of the code of conduct was found. Closed. Parties notified.</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
2020/17	Parish	Parish	01/12/20	The complainant claims the Councillor had an emotional outburst at an online parish council meeting which is claimed to have publicly impugned the integrity of the complainant.	Closed.	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Investigation ongoing.</p> <p>Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then.</p> <p>Investigation now completed, breach in part found. With MO to determine next steps.</p> <p>MO has accepted the draft report, currently considering whether to deal with the matter informally or by way of hearing.</p> <p>Consulting with Vice Chair as to whether to refer to hearing</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
						<p>sub-committee – awaiting response.</p> <p>Progressing to Hearing. Hearing panel arranged for 8th March.</p> <p>Hearing Panel met on 8 March 2022. The Panel imposed sanctions and the decision notice was published online.</p>		
2021/08	Parish Councillor	Parish Councillor	06/04/21	This is an additional complaint in respect of the Parish Council meeting in February 2021 in which the Chairman and to some extent the Council have acted “unlawfully” in respect to actions	Closed.	<p>Matter currently under investigation.</p> <p>Consulting with Vice Chair as to whether to refer to hearing sub-committee – awaiting response.</p> <p>Progressing to Hearing. Hearing panel to be convened.</p> <p>Progressing to Hearing. Hearing panel arranged for 8th March.</p>		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				taken at the meeting.		Hearing Panel met on 8 March 2022. The Panel imposed sanctions and the decision notice was published online.		
2021/09	Parish Councillor	York Resident	06/04/21	This complaint is in addition to 2020/19. This complaint pertains to the actions taken during the February 2021 Parish Council meeting in which the complainant feels further adds to the issues raised in the original complaint in respect to the conduct of the councillor.	Closed.	<p>Matter currently under investigation.</p> <p>Consulting with Vice Chair as to whether to refer to hearing sub-committee – awaiting response.</p> <p>Progressing to Hearing. Hearing panel to be convened.</p> <p>Progressing to Hearing. Hearing panel arranged for 8th March.</p> <p>Hearing Panel met on 8 March 2022. The Panel imposed sanctions and the decision notice was published online.</p>		
2022/01	CYC	CYC	15/01/22	The complainant alleges the Councillor failed	Closed.	This complaint is currently being assessed.		

Annex B - Closed Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair & Vice Chair and comments
				to treat others with respect and notes a lack of response to correspondence.		Views of the IP sought. Closed. Reason: MO does not deem that the delay is evidence of a lack of respect or sufficient to bring the council into disrepute, therefore, does not believe that there has been a breach of the code.		
2022/02	CYC	CYC	29/01/22	The complainant alleges the Councillor has abused their position, using an 'apolitical role' to seemingly confer an advantage on the political party.	Closed.	Complaint is currently being assessed. Views of the IP sought. Views of the Vice Chair sought. Closed. Reason: MO does not believe this to bring the council into disrepute and there is no reference to the role, therefore, does not believe that there has been a breach of the code.		
Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates	MO consulted with Chair & Vice Chair (assessment stage)	If progressed to hearing, date consulted Chair

Annex B - Closed Complaints Log

								& Vice Chair and comments
739	Parish	Parish Councillor	15/11/19	Allegations that the subject member behaved aggressively towards the complainant.	Closed.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p> <p>Referral to assessment subcommittee made.</p> <p>Sub-committee determined that the report is complete and the finding accepted. Hearing to be arranged in New Year.</p>	Consultation stage not in force when complaint made.	

Annex B - Closed Complaints Log

						<p>Hearing Panel convened, Hearing to take place on 18th February.</p> <p>Hearing Panel adjourned to 20th April 2022.</p> <p>Hearing Panel met on 20th April 2022. The Panel imposed sanctions and the decision notice was published online.</p>		
740	Parish	Parish Councillor	13/11/19	Allegations that the subject member behaved aggressively towards a Clerk.	Closed.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p>	Consultation stage not in force when complaint made.	

Annex B - Closed Complaints Log

						<p>Referral to assessment subcommittee made.</p> <p>Sub-committee determined that the report is complete and the finding accepted. Hearing to be arranged in New Year.</p> <p>Hearing Panel convened, Hearing to take place on 18th February.</p> <p>Hearing Panel adjourned to 20th April 2022.</p> <p>Hearing Panel met on 20th April 2022. The Panel imposed sanctions and the decision notice was published online.</p>		
741	Parish	Parish Councillor	15/11/19	Allegations that the subject member verbally attacked the Chair and the Clerk and sent threatening emails.	Closed.	The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3 rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.	Consultation stage not in force when complaint made.	

Annex B - Closed Complaints Log

						<p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p> <p>Referral to assessment subcommittee made.</p> <p>Sub-committee determined that the report is complete and the finding accepted. Hearing to be arranged in New Year.</p> <p>Hearing Panel convened, Hearing to take place on 18th February. Hearing Panel adjourned to 20th April 2022.</p> <p>Hearing Panel met on 20th April 2022. The Panel imposed sanctions and the decision notice was published online.</p>		
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Annex B - Closed Complaints Log

2021/06	Parish Councillor	Parish Councillor	11/02/20	The complainant alleges that the Cllr behaved in an intimidatory and bullying manner.	Closed.	<p>This complaint is now being looked into as the criminal proceedings have now concluded (in 2021).</p> <p>The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>External investigating officer has now been appointed.</p> <p>External investigation ongoing.</p> <p>Referral to assessment subcommittee made.</p> <p>Sub-committee determined that the report is complete and the finding accepted. Hearing to be arranged in New Year.</p> <p>Hearing Panel adjourned to 20th April 2022.</p>	Consultation stage not in force when complaint made.	
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Annex B - Closed Complaints Log

						Hearing Panel met on 20 th April 2022. The Panel imposed sanctions and the decision notice was published online.		
2021/12	Parish	Parish	01/07/2021	The complainant alleges the Parish Councillor has repeatedly bullied, threatened and harassed Councillors and staff.	Closed.	<p>Complaint assessed and the allegations set out in the complaint are covered by the code, sufficient information is within the complaint to refer for investigation and the allegations are serious in nature. It is also in the public interest to refer for investigation.</p> <p>Referral to assessment subcommittee made.</p> <p>Sub-committee determined that the report is complete and the finding accepted. Hearing to be arranged in New Year.</p> <p>Hearing Panel convened, Hearing to take place on 18th February.</p> <p>Hearing Panel adjourned to 20th April 2022.</p>	Consultation stage not in force when complaint made.	

Annex B - Closed Complaints Log

						Hearing Panel met on 20 th April 2022. The Panel imposed sanctions and the decision notice was published online.		
2021/13	CYC	CYC	15/07/2021	The complainant alleges the Cllr has breached the following standards codes: failure to treat others with respect, bullying and intimidation, and bringing the council into disrepute.	Closed.	<p>Complaint assessed and will progress to investigation.</p> <p>This complaint is currently being investigated.</p> <p>Draft report sent to parties for comment.</p> <p>Parties have responded to draft report, addendum to the report to include comments from parties added by the DMO. To be passed to MO and IP for consideration.</p> <p>IP and MO agree with the conclusion in that no breach was found. Parties contacted on 26 May 2022 and notified of outcome. Closed.</p>	Consultation stage not in force when complaint made.	
2022/03	Parish	Parish	22/03/22	The complainant alleges the subject	Closed.	This complaint is currently being assessed.	Chair and Vice Chair consulted	

Annex B - Closed Complaints Log

				<p>Councillor has shown a lack of respect on numerous occasions at Parish Council meetings, and a disregard for rulings from the Chair in direct opposition to the Standing orders and the Code of Conduct.</p>		<p>Views of the IP sought.</p> <p>Referred to Assessment Sub-Committee to determine whether complaint should progress to investigation.</p> <p>Assessment Sub-Committee determined local resolution should be sought.</p> <p>Closed.</p>		
2022/04	Parish	Parish	29/03/22	<p>The complainant alleges the Parish Councillor breached the code of conduct by bullying and harassing them, and also failing to treat them with respect.</p>	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP sought.</p> <p>Referred to Assessment Sub-Committee to determine whether complaint should progress to investigation.</p> <p>Assessment Sub-Committee determined local resolution should be sought.</p> <p>Closed.</p>	Chair and Vice Chair consulted	

Annex B - Closed Complaints Log

2022/05	Parish	Parish	11/04/22	The complainant alleges the Parish Councillor has breached the code of conduct by acting in a way which a reasonable person would regard as bullying or intimidatory.	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP sought.</p> <p>Referred to Assessment Sub-Committee to determine whether complaint should progress to investigation.</p> <p>Assessment Sub-Committee determined local resolution should be sought.</p> <p>Closed.</p>	Chair and Vice Chair consulted	
2022/06	Parish	Parish	11/04/22	The complainant alleges the Parish Councillor has breached the code of conduct by acting inappropriately during a Parish Council Meeting.	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP sought.</p> <p>Referred to Assessment Sub-Committee to determine whether complaint should progress to investigation.</p> <p>Assessment Sub-Committee determined local resolution should be sought.</p> <p>Closed.</p>	Chair and Vice Chair consulted	

Annex B - Closed Complaints Log

2022/08	Parish	Parish	14/04/2022	The complainant alleges the Parish Councillor has breached the code of conduct by acting inappropriately during a Parish Council Meeting.	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP sought.</p> <p>Referred to Assessment Sub-Committee to determine whether complaint should progress to investigation.</p> <p>Assessment Sub-Committee determined local resolution should be sought.</p> <p>Closed.</p>	Chair and Vice Chair consulted	
2022/09	Parish	Parish	20/04/2022	The complainant alleges the Parish Councillor has breached the code of conduct by making false and misleading statements in an attempt to blemish a Parish Councillor's character.	Closed.	<p>This complaint is currently being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Following consultation with the IP and the Chair and Vice Chair of JSC, the DMO will not refer the matter to investigation as the behaviour complained of does not constitute a breach of the Code of Conduct.</p>	Chair and Vice Chair consulted 21/04/22	

Annex B - Closed Complaints Log

2022/10	CYC	Parish	20/04/2022	The complainant alleges the Councillor has breached the code of conduct due to their behaviour at a Council meeting.	Closed.	<p>This complaint is currently being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Following consultation with the IP and the Chair and Vice Chair of JSC, the DMO will not refer the matter to investigation as the behaviour complained of does not constitute a breach of the Code of Conduct. The complaint relates primarily to dissatisfaction with the Standards process rather than the behaviour of the Councillor involved.</p>	Chair and Vice Chair consulted 21/04/22	
2022/11	CYC	Parish	21/04/22	The complainant alleges that the Members on a Hearings Panel have breached the code of conduct following their involvement and actions at the hearing.	Closed.	<p>This complaint is currently being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Following consultation with the IP and the Chair and Vice Chair of JSC, the DMO will not refer the matter to investigation as the behaviour complained of does not</p>	Chair and Vice Chair consulted 21/04/22	

Annex B - Closed Complaints Log

						constitute a breach of the Code of Conduct. The complaint relates primarily to dissatisfaction with the Standards process rather than the behaviour of the Councillors involved.		
2022/12	CYC	York resident	25/04/22	The complainant alleges the Cllr breached the code of conduct in a comment made online. The complainant alleges the comment breached the code and the following Nolan Principle: "Holders of public office should be truthful."	Closed.	<p>This complaint is currently being assessed.</p> <p>Views of the IP sought.</p> <p>This matter will not be referred to investigation as the comments complained about do not constitute a breach of the Code of Conduct, the Councillor is entitled to free speech and regulatory intervention would be a disproportionate restriction of this right.</p>	Chair and Vice Chair consulted 13/05/22	

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Work Plan for Joint Standards Committee 2022-23

Meeting Date (4.00pm start time)	Items	Notes
17 November 2022	<ul style="list-style-type: none"> • Monitoring report in respect of complaints received • Review of Work Plan 	Standard Item
19 January 2023	<ul style="list-style-type: none"> • Monitoring report in respect of complaints received • Invitation to hear from the Yorkshire Local Councils Association – Sheena Spence (written report) • Presentation of reports and performance monitoring information • Review of Work Plan 	Standard Item
20 April 2023	<ul style="list-style-type: none"> • Draft Annual Report for Municipal Year 2022-2023 • Monitoring report in respect of complaints received • Review of Work Plan 	Standard Item

Previous meetings

Wednesday 23 June 2021	<ul style="list-style-type: none">• Appointment of Chair and Vice Chair• Workshop around Model Code of Conduct	
Tuesday 6 July 2021	<ul style="list-style-type: none">• Annual Report for Municipal Year 2020-2021• Update on recruitment of Independent Persons• Review of York's Parish Charter• Model Code of Conduct for Elected Members• Review of Work Plan• Monitoring report in respect of complaints received	Standard item
Thursday 23 September 2021	<ul style="list-style-type: none">• Update on recruitment of Independent Persons• Terms of Reference for the Committee• Model Code of Conduct for Elected Members• Model Code of Conduct – Review of	

	<p>Procedures</p> <ul style="list-style-type: none"> • Review of Work Plan • Monitoring report in respect of complaints received 	Standard Item
Tuesday 23 November 2021	<ul style="list-style-type: none"> • Model Code of Conduct – Review of Procedures 	
Monday 24 January 2022	<ul style="list-style-type: none"> • Review of York’s Parish Charter • Dispensation granted to City Councillors on 15 July 2021 • Dispensation granted to City Councillor on 12 October 2021 • Update on Review of Procedures – Hoey Ainscough • Monitoring report in respect of complaints received • Review of Work Plan 	Standard Item
Wednesday 20 April 2022	<ul style="list-style-type: none"> • Draft Annual Report for Municipal Year 2021-2022 • Monitoring report in respect of complaints received • Work to support Parish/Town Councils in adoption of Model Code of Conduct 	Standard Item

	<ul style="list-style-type: none">• Dispensation granted to City Councillor on 7 February 2022• Discussion around use of substitutes for Parish/Town Councillors on Joint Standards Committee• Review of Work Plan	
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